ADVISOR SERVICES

Designed to Evolve.

Recent enhancements and what we're focused on.

Q2 2026

What's here. What's next.

Advisor-facing — Client-facing

Advisor tools Available Today

Digital account opening
Initiate accounts in Liberty, clients sign digitally. Eliminates up to 90% of common errors.

Pending systematic distribution review
Track and manage scheduled distributions with full pipeline visibility.
ADVISOR REQUESTED

Trading restriction bulletin
Identify restricted accounts sooner and act with confidence.
ADVISOR REQUESTED

Account Holdings visibility
View contribution and distribution percentages directly within Account Holdings.
ADVISOR REQUESTED

Expanded account search view
Client Management: see more accounts at once with expanded search results.
ADVISOR REQUESTED

Robust trading blotter default trade view
Review more trades upfront with an expanded blotter view.

MMX Directory Refresh
Find model manager information faster with an improved MMX directory.

Client expereince Available Today

Axos Client Portal: householding
View household relationships more easily within the Portal.
ADVISOR REQUESTED

Educational Savings Accounts (ESAs)
Open and support Education Savings Accounts for qualified education planning.
ADVISOR REQUESTED

CAIS alternative investment platform
Gain access to a broader range of alternative investment strategies.
ADVISOR REQUESTED

Areas of focus for advisor tools & client experience Areas of Focus

Beneficiary restructure
Simplify beneficiary updates across accounts with a streamlined digital process.

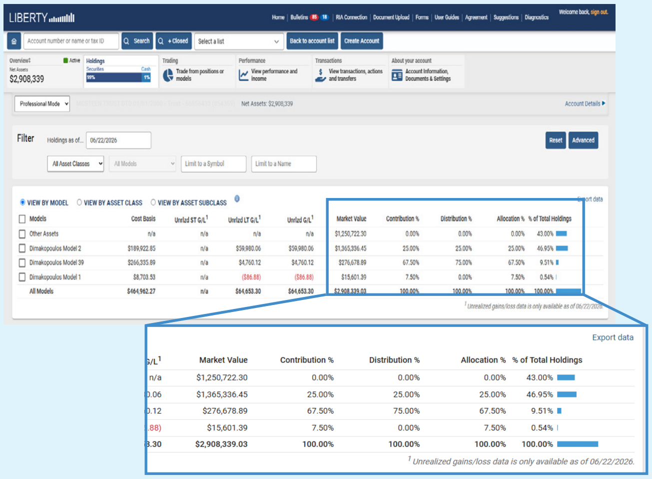
Axos Client Portal: portfolio performance
Clients see time-weighted return, net return, total value, and net contributions in the portal.
ADVISOR REQUESTED

Equity dividend reinvestment
Automatically reinvest eligible dividends to support long-term portfolio growth.
ADVISOR REQUESTED

MMX insights guides
Access new guides to support model research and advisor conversations.

Liberty client enhancements.

Shaped by Client Feedback | Enhanced Experience | Available Today



Account Holdings: Contribution & distribution visibility

Getting a complete picture of your clients' model allocations should not require navigating to a separate screen. The Account Holdings screen now displays two new columns showing contribution and distribution percentages at the model level, giving all Liberty users immediate visibility into how each account is structured, without needing to drill into Account Details. You can answer client questions faster, review allocation logic at a glance, and spend less time clicking through screens to find information that should always be front and center.

Explore the details >

Trading enhancements

Trading restriction bulletin

Reduce rejected trades and related follow-up by spotting restricted accounts earlier. The Trading Restriction Bulletin now gives you clearer visibility into accounts with trading restrictions, including dormant and deceased status where applicable. You can identify impacted households, remove affected accounts from external trading workflows when needed, and strengthen the operational discipline that protects your clients and your firm.

Explore the details >

Trade Blotter: Expanded default trade view

Gain more visibility when trading activity is moving quickly. The Trading Blotter now defaults to 50 rows, up from 25, so you can review more trades at once without adjusting the view or moving through extra pages. You can monitor order status, spot exceptions, and confirm activity more efficiently, helping reduce missed issues during high-volume periods.

Explore the details >

Client Management: Expanded account search view

Find the right account faster when client service depends on speed. The Account Search page in Client Management now defaults to 50 rows, up from 15, so you can see more results as soon as you land on the page. You spend less time paginating and more time resolving client needs, especially when reviewing large books of business.

Explore the details >

Pending systematic distribution review

Strengthen income planning by giving your team clearer visibility into upcoming distributions. The Pending Systematic Distributions Bulletin now includes additional details, including post date and transmission date, so you can review scheduled activity more efficiently, confirm timing, and address questions before withdrawals occur. Better visibility helps reduce errors, avoid surprises, and supports more confident client communication.

Explore the details >

Digital–forward by design.

Modern digital experiences that meet
you and your client expectations.

Digital account opening in Liberty.

Available Today

Opening a new account shouldn't mean chasing down paperwork, correcting errors, or waiting days for processing. Digital Account Opening is now available in Liberty for firms using the Axos Client Portal, letting you initiate accounts while your clients complete and sign documents online or from their mobile device. Available for Individual, Joint, Traditional IRA, and Roth IRA accounts, the experience **includes real-time validations that can eliminate up to 90% of common errors**, and accounts can be opened in minutes. One consent, one signing experience, and significantly less rework.

Reach out to your relationship manager to learn more >

Equity dividend reinvestment (DRIP).

Shaped by Client Feedback | Areas of Focus

Compounding works best when it works automatically. Coming soon, equity dividend reinvestment will let you elect automatic reinvestment of cash dividends into additional shares, helping clients steadily build positions over time without requiring manual intervention. You can offer a disciplined, cost-efficient approach to long-term wealth accumulation that keeps portfolios actively working between rebalancing cycles. For clients focused on growth and income strategies, dividend reinvestment enrollment is a simple way to demonstrate thoughtful, hands-on portfolio stewardship. Effortless reinvestment, at scale, with compounding doing the work.

Beneficiary Restructure.

Areas of Focus

Keeping beneficiary designations accurate is one of the most important, and often overlooked, parts of a comprehensive wealth plan. Coming soon, you will be able to review and update beneficiary information more efficiently, reducing the risk of outdated designations that could create complications for your clients and their families. Streamline this critical touchpoint in your practice, demonstrate proactive estate planning oversight, and give clients greater confidence that their wishes are properly documented and current.

Axos Client Portal.

Shaped by Client Feedback

Enhanced Experience

Householding visibility

Available Today

Give your clients the consolidated household visibility they have been asking for in Axos Client Portal. Heads of Household can now see every member account in one clear view, helping families better understand their total wealth without requiring additional logins, manual reporting or extra work from your team. Each household member's access remains aligned to their role, so clients get a broader family view where appropriate while individual account visibility stays secure and intentional. As one of the most requested ACP enhancements, householding gives firms another meaningful reason to expand ACP usage, support more holistic planning conversations and create a more connected digital experience for families.

Explore the details >

Portfolio performance

Areas of Focus

Turn performance visibility into better client conversations. Coming soon, your clients can view portfolio performance details in the Accounts section of the Axos Client Portal, including time-weighted return, net return, total portfolio value, and net contributions. These insights help clients understand how their portfolio is truly performing, separate market activity from contributions and withdrawals, and stay connected to their long-term strategy.

Built to support growth.

Scalable capabilities designed to support
more holistic, forward-looking financial planning.

New and expanded offerings.

Shaped by Client Feedback Available Today

Alternative investments

Expanded access through CAIS

Client interest in private markets is growing, and your ability to respond to that demand is now significantly broader. Through our new partnership with CAIS, you can access an expanded range of alternative investment strategies, research funds more efficiently, and initiate activity through a streamlined digital experience — available for both taxable and non-taxable accounts.

- **Access private equity, private credit, hedge funds, and real estate strategies**
- **Research and initiate alternatives without leaving the platform**
- **Incorporate alternatives into existing portfolios through a single, cohesive workflow**

Explore the details >

Education planning

Coverdell Education Savings Accounts

Education planning is a conversation many families want to have, and Coverdell Education Savings Accounts give you a tax-advantaged tool to make it actionable. You can open and manage Coverdell ESAs to help clients save for qualified K–12 and higher education expenses, with flexible investment options. Expand the depth of your planning conversations, serve multi-generational household goals, and offer families a dedicated vehicle for one of their most meaningful financial priorities.

- **Broaden the planning conversation beyond retirement and investments**
- **Cover a wide range of qualified education expenses.**
- **Give clients another reason to consolidate assets with your firm**

Explore the details >

Money Manager X-Change (MMX).

Available Today

Directory refresh.

The refreshed MMX Directory gives you a faster, more intuitive way to search, filter, and compare managers across strategies so you can move from research to recommendation with greater confidence. You will spend less time navigating outdated lists and more time evaluating managers that genuinely fit your clients' investment objectives. A cleaner directory means better decisions, faster.

View the directory >

Manager Insights Guide.

Areas of Focus

Understanding a manager's approach is just as important as knowing their performance. The MMX Manager Insights Guide delivers deeper, standardized profiles for money managers on our platform including investment philosophy, strategy descriptions, and key differentiators so you can evaluate fit with more context and less guesswork. Use these guides to sharpen your due diligence, prepare for client conversations, and build portfolios around managers you genuinely understand and can confidently explain.

The MMX Manager Insights Guide is provided for informational purposes only. Axos does not provide investment advice or conduct due diligence on behalf of advisors or their clients. Advisors are responsible for conducting their own independent due diligence in accordance with their firm's policies and applicable regulatory requirements.