Self Directed Trading Fee Schedule

Effective November 1, 2026

Axos Invest Self Directed Trading Platform

Mutual Fund Trade	free
Money Market Trades	free
Equities	free

Reorg/Dividends/Transfers

ACAT and Non-ACAT Transfer Out	$100 per account
Free Deliveries DTC	$27 per security
Mandatory Reorg Corp Actions	$27 per account
Voluntary Tender/ Exchange Offer / Bond Puts	$37 per election
Axos Clearing Assisted Dividend Posting (Asset not held at Axos Clearing)	$17 per item
Cash Dividend outgoing check	$12 per check

Check Processing

Stop Payments	$27 per stop
Returned Checks	$45 per check
Photocopies of Checks	$6 per check
Prepayments of Unsettled Funds	$35 per item plus interest
Check Writing Stop Pays	$30 per check
Returned ACH Items	$30

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Qualified Plan Accounts (IRA, 401(k), SEP, ROTH etc.)

Transfers (including transfer of Roth to/from Traditional IRA	$80 per transfer
Annual Custodial Fee	$60 per plan
Termination Fee	$60 per plan

Transfer on Death (TOD) Registration

TOD Application Fee	$65 per account setup
TOD Change	$65 revocation Per account change
TOD Distributions	$225

Restricted Stock

Clearance of Transfer of Restricted Stock	$125 plus out of pocket costs

Physical Security Processing

Certificate Request DTC	$1,200 per certificate
Rush Withdrawal DTC	$175 additional
Physical Settlements	$125 plus cost
Rejected Deposits DTC or Agent	$200 per certificate
NY Window / Physical Delivery	$55 per security

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Wire Transfers

Domestic	$35 per wire
International	$80 per wire
Wire Research Requests/ Service Messages	$55 per request

Overnight Delivery

Domestic	$45 per delivery
International	$125 per delivery, additional fees may apply

Foreign Securities

ADR Fee	.01 plus cost per transaction*

Inactivity Fee

Inactivity Fee	$40 annually; billed quarterly; 2 or more trades per quarter qualifies for a waiver.

*please note there may be additional pass through charges through DTCC . Additional charges may be assessed by the custodian bank of the shares.

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